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# Transcatheter Edge-To-Edge Valve Repair for Tricuspid Regurgitation

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## Abbreviations

- 6MWT 6-minute walk test
- KCCQ Kansas City Cardiomyopathy Questionnaire
- MAE major adverse event
- NYHA New York Heart Association
- QoL quality of life
- RCT randomized controlled trial
- SF-36 Short Form (36) Health Survey
- TEER transcatheter edge-to-edge valve repair
- TR tricuspid regurgitation



## **Key Messages**

- The transcatheter edge-to-edge valve repair (TEER) device (TriClip) might be more effective in reducing tricuspid regurgitation severity and improving quality of life compared to medical therapy alone.
- The TEER device (TriClip) may have little impact on all-cause mortality, hospitalization due to heart failure, and 6-minute walk tests compared to medical therapy alone.
- The TEER device (TriClip) demonstrated a numerically higher rate of adverse events than a medical therapy control group in a clinical trial.
- Patients treated with the TEER device (TriClip) had fewer major adverse events (ranging from 2.5% to 7.1%) than the 10% performance goal in the included clinical trial; common major adverse events included major bleeding, single leaflet device attachment, and nonelective cardiovascular surgery. No device embolization or thrombosis were reported.
- We did not identify any studies that met our inclusion criteria to evaluate the effectiveness of the TEER device (TriClip) compared to open heart surgery.

## **Context and Policy Issues**

#### What Is Tricuspid Regurgitation?

The tricuspid valve is positioned between the right atrium and the right ventricle, which is the largest cardiac valve with a normal orifice area between 7 cm<sup>2</sup> and 9 cm<sup>2</sup> and can be divided into the leaflets, the papillary muscles, the chordal attachments, and the annulus (with attached atrium and ventricle).<sup>1</sup> The tricuspid valve usually has 3 different-sized leaflets, including septal, anterior-superior, and inferior,<sup>2</sup> but some healthy people may have 2 or more than 3 leaflets.<sup>1</sup> During systole, the leaflets, chordal attachments, and papillary muscles work together to facilitate tricuspid valve closure.

Tricuspid regurgitation (TR), also known as tricuspid valve regurgitation or tricuspid insufficiency, occurs when the tricuspid valve fails to close completely, resulting in blood flowing in the wrong direction (i.e., from the right ventricle to the right atrium). A TR diagnosis can be classified as either primary (or organic) TR or secondary (or functional) TR based on the underlying cause of disease.<sup>3</sup> Primary (or organic) TR is rare and is a result of a primitive defect in the tricuspid valve caused by congenital or acquired conditions that affect the tricuspid valve or the subvalvular apparatus.<sup>1,3</sup> Secondary (or functional) TR is more prevalent than primary TR and occurs because of other diseases, such as left-side heart diseases and pulmonary hypertension.<sup>1,3</sup> Typically, there is no intrinsic damage to the tricuspid valve itself in secondary (or functional) TR.

#### How to Manage Tricuspid Valve Regurgitation?

Patients with TR can often present as asymptomatic. TR may be unnoticed during a physical examination, but can be diagnosed and evaluated through echocardiography.<sup>4,5</sup> Proper management of TR requires health care specialists to assess and treat the underlying cause of the disease.<sup>6</sup> Medical therapies, such



as diuretics, and tricuspid valve surgery (including tricuspid valve repair or replacement) are options for treating TR. Valve replacement is considered to have a lower risk of recurrent TR than valve repair, but when considering operative mortality, technical ease, and speed of operation repair, valve repair is generally preferred, if possible.<sup>6,7</sup> Transcatheter systems can be used for both valve repair and replacement. Studies have shown that patients at high risk of adverse events or complications due to open surgery may benefit from transcatheter tricuspid valve repair, which has demonstrated better clinical outcomes when compared to surgical tricuspid valve replacement or repair.<sup>8,9</sup> Transcatheter tricuspid valve repair systems can either use edge-to-edge technology and an annuloplasty-based procedure. The edge-to-edge method appears to have a superior safety profile when compared to annuloplasty.<sup>10</sup>

#### What Is the Transcatheter Edge-to-Edge REPAIR?

Transcatheter edge-to-edge repair (TEER) is a minimally invasive procedure that treats valve leakage by using a catheter inserted through the femoral vein and delivering 1 or more clips with 2 arms to capture and lock valve leaflets without requiring open heart surgery.<sup>11</sup> Abbott, a devices and health care company, developed the MitraClip to treat patients who are experiencing mitral regurgitation. Clinicians can use the MitraClip as a TEER device to treat TR, even though it is intended for mitral valve use. Following the launch of the MitraClip, Abbott introduced a modified version called the TriClip system, which is specifically meant for treating patients with TR.<sup>11</sup> Another TEER system called PASCAL can also be used for treating TR. The PASCAL system builds on the success of the TriClip and other valve repair systems by combining a spacer to fill the regurgitant jet area, paddles to avoid stress concentration on native valve leaflets, and clasps for independent leaflet capture.<sup>12</sup> To the best of our knowledge, Health Canada has only approved the TriClip as a TEER device for treating TR.<sup>13</sup>

#### Why Is It Important to Do This Review?

Clinicians have recently identified a gap in the treatment landscape for TR and considered it a "forgotten valve disease."<sup>3</sup> Patients with severe TR are at greater risk of mortality and adverse comorbidities and complications, including fatigue, weakness, and heart failure.<sup>3,14</sup> In recent years, cardiology and research experts have emphasized that TR is an important prognostic factor for predicting clinical outcomes and an individual's quality of life (QoL).<sup>14-16</sup> Several systematic reviews have examined the clinical outcomes related to the use of transcatheter valve repair for treating TR.<sup>7-10</sup> However, these reviews included both TEER devices (e.g., the MitraClip, TriClip, and PASCAL) and non-TEER devices (e.g., the FORMA repair system and cardioband) for treating TR. They were not designed to specifically focus on the TriClip system, nor provided a subgroup for it. Additionally, a randomized controlled trial (RCT) called the TRILUMINATE Pivotal trial (NCT03904147) has released its results on using the TriClip for TR management to the public.

## Objectives

To support an evidence-based decision on the use of the TEER device (TriClip) in patients with TR, we summarize the latest evidence on the clinical effectiveness and safety of the TEER device (TriClip) for treating TR, including clinical trials and observational studies.



### **Research Question**

What is the clinical effectiveness and safety of the TEER device (TriClip) for tricuspid valve regurgitation?

## Methods

#### Literature Search Methods

An information specialist conducted a literature search on key resources, including MEDLINE, the Cochrane Database of Systematic Reviews, the International HTA Database, and the websites of Canadian and major international health technology agencies, as well as a focused internet search. The search approach was customized to retrieve a limited set of results, balancing comprehensiveness with relevancy. The search strategy comprised both controlled vocabulary, such as the National Library of Medicine's MeSH (Medical Subject Headings), and keywords. Search concepts were developed based on the elements of the research questions and selection criteria. The main search concept was transcatheter tricuspid repair. CADTH-developed search filters were applied to limit retrieval to health technology assessments, systematic reviews, meta-analyses, or indirect treatment comparisons; as well as RCTs, controlled clinical trials, or any other type of clinical trial. The search was completed on June 30, 2023, and limited to English-language documents published since January 1, 2018.

#### **Selection Criteria and Methods**

One reviewer screened citations and selected studies. In the first level of screening, titles and abstracts were reviewed and potentially relevant articles were retrieved and assessed for inclusion. The final selection of full-text articles was based on the inclusion criteria presented in <u>Table 1</u>.

Criteria	Description
Population	Adults (18 years or older) with symptomatic, moderate to severe tricuspid regurgitation
Intervention	Transcatheter edge-to-edge valve repair
Comparator	Medical management (volume control, compression stockings, medications [e.g., hypertension, heart failure, pulmonary arterial hypertension], paracentesis), no treatment, surgery, no comparator
Outcomes	Clinical effectiveness (e.g., tricuspid regurgitation grade, quality of life, complications, all-cause mortality, New York Heart Association functional class, hospitalizations, emergency department visits) and safety (e.g., adverse events, severe adverse events)
Study designs	Health technology assessments, systematic reviews, randomized controlled trials, nonrandomized studies

#### Table 1: Selection Criteria

#### **Exclusion Criteria**

We excluded articles if they did not meet the selection criteria outlined in <u>Table 1</u>, they were duplicate publications, or were published before 2018. We also excluded studies that used unclear devices in the intervention, did not focus on the TriClip, or did not have a subgroup for using the TriClip for treating TR.

#### **Critical Appraisal of Individual Studies**

The included publications were critically appraised by 1 reviewer using the Downs and Black checklist<sup>17</sup> for randomized and nonrandomized studies. Summary scores were not calculated for the included studies; rather, the strengths and limitations of each included publication were described narratively.

## **Summary of Evidence**

#### **Quantity of Research Available**

A total of 528 citations were identified in the literature search. Following screening of titles and abstracts, 489 citations were excluded and 39 potentially relevant reports from the electronic search were retrieved for full-text review. Six potentially relevant publications were retrieved from the grey literature search for full-text review. Of these potentially relevant articles, 39 publications were excluded for various reasons, and 6 publications met the inclusion criteria and were included in this report. These comprised 1 RCT and 5 reports of 4 single-arm observational studies. <u>Appendix 1</u> presents the PRISMA<sup>18</sup> flow chart for study selection.

Additional references of potential interest are provided in Appendix 5.

#### **Summary of Study Characteristics**

This report included 5 primary studies, with a total of 6 publications,<sup>19-24</sup> including 1 RCT (TRILUMINATE Pivotal trial)<sup>19</sup> and 4 single-arm observational studies with 5 publications.<sup>20-24</sup> We did not identify any health technology assessments or systematic reviews that met the inclusion criteria. Furthermore, we did not find any studies that reported emergency department visits after TEER intervention. The characteristics of the included publications are provided in <u>Appendix 2</u>.

#### **RCT (TRILUMINATE Pivotal trial)**

We included 1 RCT that examined the clinical effectiveness for TEER device (TriClip) compared to guidelinedirected medical therapy for heart failure alone.<sup>19</sup> The RCT was a multicentre 2-arm trial (TRILUMINATE Pivotal trial, NCT03904147, funded by Abbott) conducted in the US, Canada, and across various European countries. The trial inclusion criteria were used to recruit a cohort of adults with severe and symptomatic TR with a New York Heart Association (NYHA) functional class II, III, or IV. Patients were also categorized as being at intermediate or greater surgical risk (determined by a group of local certified specialists), had been receiving stable guideline-directed medical therapy for heart failure for at least 30 days before enrolment, and had no other cardiovascular condition that required interventional or surgical correction.<sup>19</sup>

In total, 350 patients were enrolled in the trial, 55% of whom were female, with the average age of a patient being 78 years.<sup>19</sup> Patients in the intervention group received TEER, which used the TriClip tricuspid valve repair system, while patients in the control group were treated by guideline-directed medical therapy alone.<sup>19</sup> The primary outcome in the study was a hierarchical composite outcome measure, which was defined in order as all-cause death, tricuspid-valve surgery, hospitalization for heart failure, and improvement in QoL.<sup>19</sup> Additionally, data were provided on single outcomes such as death or tricuspid-valve surgery, hospitalization



due to heart failure, QoL measurement using the Kansas City Cardiomyopathy Questionnaire (KCCQ), TR severity, 6-minute walk test, and major adverse events (MAEs). The study followed participants for up to 1 year.<sup>19</sup>

#### Single-Arm Observational Studies

We included 5 reports<sup>20-24</sup> summarizing 4 single-arm observational studies that examined the clinical outcomes of patients with TR who received TriClip tricuspid TEER. Two publications<sup>23,24</sup> provide results for the TRILUMINATE single-arm study, which was conducted before the TRILUMINATE Pivotal trial. Abbott supported both the TRILUMINATE single-arm study and the TRILUMINATE Pivotal trial, which used the TriClip for treating TR. Out of the 4 studies, 3 were prospective<sup>20,21,23,24</sup> and 1 was retrospective.<sup>22</sup> The bRIGHT study<sup>20</sup> (an observational real-world study evaluating patients with severe tricuspid regurgitation who were treated with the Abbott TriClip device) was conducted in 26 research centres across Europe, the TRILUMINATE single-arm study with 2 reports<sup>23,24</sup> was conducted in 21 sites in Europe and the US, while 1 study<sup>21</sup> was carried out at a single site in Italy, and the final study<sup>22</sup> was conducted in 4 Spanish centres retrospectively.

All 4 studies involved patients with symptomatic TR receiving medical treatment (most patients had an NYHA function class of III or IV) and with a TR severity of at least moderate.<sup>20-24</sup> The studies included a higher percentage of females than males, ranging from 56% to 85% females.<sup>20-24</sup> The median age of patients ranged from 75 years to 81 years.<sup>20-24</sup> The sample size ranged from 13 patients<sup>21</sup> to 511 patients.<sup>20</sup> All patients received TEER with the TriClip tricuspid valve repair system. These studies reported on different clinical outcomes, such as procedure outcomes, QoL, all-cause mortality, NYHA functional class, 6-minute walk test distance, hospitalizations due to heart failure, adverse events, TR severity, and other echocardiographic parameters.<sup>20-24</sup> The follow-up period for these studies ranges from 1 month to 1 year after the intervention.<sup>20-24</sup>

Additional details regarding the characteristics of the included publications are provided in Appendix 2.

#### **Summary of Critical Appraisal**

#### RCT (TRILUMINATE Pivotal trial)

The study's objectives and inclusion and exclusion criteria were well-defined and outlined. The study conducted a sample size calculation based on the primary outcome and successfully achieved its target sample size. The characteristics of the study participants were described in detail, including age, sex, NYHA functional class, comorbidities, and co-interventions. The study participants were representative of patients with isolated TR, according to the trial authors. Baseline characteristics were matched well between the intervention and control groups. The study intervention and outcome measures were clearly described. The study conducted sensitivity analyses to support the robustness of their findings. To reduce performance bias, personnel who were not aware of the group assignments conducted follow-up, and echocardiography assessments and standardized scripts were used.

The study was funded by Abbott, which is the manufacturer of the device. The sponsor had a role in choosing the research sites, overseeing the trial, gathering data, and examining the outcomes. This could potentially result in performance bias that favours the TEER device (TriClip). Although the study conducted



sensitivity analyses for missing data, it did not provide clear information on the characteristics of patients who were lost to follow-up. The study was also an open-label study (for patients and clinicians), which may affect patients' perceptions of the QoL measures and are at risk of measurement or reporting bias. The P values for certain outcome measures, such as changes in NYHA functional class and adverse events, were not reported. We are unsure if the trial has enough power to detect a statistical difference in individual outcomes such as all-cause mortality or hospitalization due to heart failure.

The study's primary end point was a hierarchical composite outcome that, in order, included all-cause mortality, tricuspid-valve surgery, hospitalization due to heart failure, and at least a 15-point improvement in KCCQ score within a year. However, the use of this composite outcome makes it difficult to interpret the effectiveness of the TEER device (TriClip) on individual clinical outcomes. The outcomes were summarized via a win ratio for the primary composite outcome and the authors used the Finkelstein-Schoenfeld method. The study-reported win ratio was primarily driven by an improvement in KCCQ scores among the patients who hadn't had surgery or were hospitalized due to heart failure, a property of the win ratio when the outcomes at the top of the hierarchy are less rare. The number of wins from all-cause mortality or tricuspid-valve surgery (in favour of intervention), or hospitalization due to heart failure (in favour of control) were similar between the intervention and control groups (no statistical tests were provided). The importance of all-cause mortality and tricuspid-valve surgery may differ for patients with TR.

#### Single-Arm Observational Studies

Three prospective single-arm observational studies<sup>20,21,23,24</sup> clearly reported study objectives, inclusion and exclusion criteria, intervention details, participant characteristics, outcome measures, and the main findings, but 1 retrospective single-arm study<sup>22</sup> lacked a clear description of its objectives, and inclusion and exclusion criteria. All 4 studies used paired statistical analysis methods for comparing changes before and after the intervention.<sup>20-24</sup> Two studies (with sample sizes of 13 and 34)<sup>21,22</sup> did not receive any specific funding, while 2 larger studies (with sample sizes of 511 and 85)<sup>20,23,24</sup> were funded by Abbott. This could potentially lead to publication bias due to the influence of the funding source. All studies used a before-and-after design without a control group.<sup>20-24</sup> Due to the nature of the design, it is difficult to attribute the before-and-after changes to the effect of the TEER device (TriClip). It is assumed that all these studies are open-label studies, which could result in bias in outcome measures that favours the TEER device (TriClip), particularly for QoL measures.

Additional details regarding the strengths and limitations of the included publications are provided in <u>Appendix 3</u>.

#### **Summary of Findings**

Five primary studies, including 1 RCT (TRILUMINATE Pivotal)<sup>19</sup> and 4 single-arm observational studies with 5 publications (including 2 publications reporting the TRILUMINATE single-arm study),<sup>20-24</sup> provided evidence on the clinical effectiveness and safety of the TEER device (TriClip) for patients with TR. The TRILUMINATE Pivotal RCT estimated a relative effect of adding the TEER device (TriClip) to medical therapy compared to medical therapy alone,<sup>19</sup> while the single-arm studies identified insights to potential changes postintervention compared to baseline.<sup>20-24</sup> The longest follow-up among these studies was 1 year.



<u>Appendix 4</u> presents the main study findings.

#### **Procedural Outcomes**

All eligible studies reported some procedural outcomes and indicated that the TEER device (TriClip) had high success rates for both implant and procedure. The implant success was defined as the device was delivered and deployed accurately without any complications during the procedure. The procedure success was defined as TR improved by at least 1 grade and no device-related adverse events. We have the following information to highlight:

- The rate of successful implants is high, ranging from 98.8% to 100%. 19-22,24
- On average, a patient needs 2 clips.<sup>19,20,24</sup>
- The average duration of the procedure varied between 88 minutes and 153 minutes.<sup>19,21,22,24</sup>
- The required length of stay (hospitalization) for the procedure is typically between 1 day and 2 days (median).<sup>19,22</sup>

#### **TRILUMINATE RCT Composite Outcome**

The TRILUMINATE Pivotal RCT<sup>19</sup> reported a hierarchical composite outcome as the primary end point, which included all-cause mortality or tricuspid-valve surgery, hospitalization due to heart failure, and at least a 15-point improvement in the KCCQ score within a year. Based on the reported win ratio results, it can be inferred that the TEER device (TriClip) outperformed the control group in a statistically significant manner.<sup>19</sup>

#### **TR Severity**

All eligible studies enrolled all or mostly all patients (90% or more) with severe or worse TR and reported on TR severity.<sup>19-24</sup> Two studies<sup>19,23</sup> indicate that the TEER device (TriClip) was effective in reducing TR severity up to the 1-year follow-up.

- TRILUMINATE Pivotal RCT: The TEER device (TriClip) group had a statistically significantly higher proportion of patients with moderate or lower TR than the control group.<sup>19</sup>
- Single-arm studies: Most patients had a reduction in TR severity after intervention with the TEER device (TriClip).<sup>20-24</sup>
- The beneficial effect of the TEER device (TriClip) on TR severity was maintained up to the 1-year follow-up.<sup>19,23</sup>

#### **Quality of Life**

One RCT<sup>19</sup> and 3 single-arm studies<sup>20,21,23,24</sup> reported on the continuous QoL measures. Three<sup>19,20,23</sup> of these studies also reported QoL as a categorical outcome. These studies indicate that the TEER device (TriClip) was effective in improving QoL.

• TRILUMINATE Pivotal RCT:<sup>19</sup> The patients in the TEER device (TriClip) group demonstrated statistically significant improvement in QoL, as measured by KCCQ scores, compared to those in the control group (medical therapy alone). Additionally, more patients in the TEER device (TriClip) group experienced at least a 15-point improvement in KCCQ scores than those in the control group.



- Single-arm studies: The mean QoL scores, including KCCQ, EQ-5D, Short Form (36) Health Survey (SF-36) physical component, SF-36 mental component, were statistically significant improved<sup>20,21,23,24</sup> and most patients experienced at least a 10-point improvement in KCCQ scores after intervention with the TEER device (TriClip).<sup>20,23,24</sup>
- The beneficial effect of the TEER device (TriClip) on KCCQ score was maintained up to the 1-year follow-up.<sup>19,23</sup>

#### **NYHA Functional Class**

All eligible studies enrolled most patients with NYHA III or IV and reported on NYHA functional class.<sup>19-24</sup> Two studies<sup>19,23</sup> indicate that the TEER device (TriClip) was effective in improving NYHA functional class (from NYHA III or IV to NYHA I or II) up to the 1-year follow-up.

- TRILUMINATE Pivotal RCT: The TEER device (TriClip) group had a numerically higher proportion of patients with NYHA I or II at 1-year follow-up than the control group, but the statistical test results were not available.<sup>19</sup>
- Single-arm studies: Most patients had an improvement in NYHA functional class after the TEER (TriClip) procedure.<sup>20-24</sup>

#### 6-Minute Walk Test

One RCT<sup>19</sup> and 1 single-arm study reported on the 6-minute walk test (6MWT).<sup>23,24</sup> While the single-arm study<sup>23,24</sup> revealed a statistically significant improvement in 6MWT distance after the TEER procedure with the TriClip compared to baseline, the TRILUMINATE Pivotal RCT found no statistically significant difference in 6MWT distance between the TEER device (TriClip) and control groups.<sup>19</sup> Therefore, it appears that the TEER device (TriClip) may have minimal impact on 6MWT distance.

#### Hospitalization Due to Heart Failure

All eligible studies provided information about hospitalization due to heart failure.<sup>19-24</sup> Although there were discrepancies in the reported findings among these studies, the use of the TEER device (TriClip) may result in little change to the 1-year rate of hospitalization due to heart failure.

- TRILUMINATE Pivotal RCT: The group treated with the TEER device (TriClip) had numerically more patients hospitalized due to heart failure compared to the control group, but a formal statistical test was not available (likely no significant statistical difference).<sup>19</sup>
- Single-arm studies: Following the intervention with the TEER device (TriClip), the rate of hospitalization due to heart failure was numerically lower than the previous year,<sup>20,22,23</sup> but this comparison is based on small sample sizes and importantly is potentially biased due to the patient selection methods that preferred patients with longer duration of heart failure and the assumption that heart failure hospitalization did not change over time.



#### **All-Cause Mortality**

All eligible studies included information about death from any cause in their reports.<sup>19-24</sup> The use of the TEER device (TriClip) did not demonstrate an improvement in the incidence of all-cause mortality within 1 year of follow-up:

- The TRILUMINATE Pivotal RCT<sup>19</sup> reported that the group treated with the TEER device (TriClip) had a lower proportion of patients (9.4%) who died compared to the control group (10.6%), but there were no statistical test results available. A test of significant statistical difference between the intervention and control would likely fail to support evidence of a difference in mortality.
- Two single-arm studies<sup>21,22</sup> (n = 34 and n = 13) reported no patient deaths during their follow-up period, which lasted either 3 months<sup>22</sup> or 6 months, respectively.<sup>21</sup> Another study reported 5 out of 511 deaths (1%) within 30 days of being treated with the TEER device (TriClip),<sup>20</sup> while another study reported 6 out of 84 (7.1%) deaths after 1 year of follow-up.<sup>23</sup>

#### **Adverse Events**

All eligible studies included information about MAEs at 30 days and other adverse events in their reports.<sup>19-24</sup>

- TRILUMINATE Pivotal RCT:<sup>19</sup> Patients treated with the TEER device (TriClip) had a significantly lower proportion of serious medical events compared to the expected performance goal of 10% in the included RCT. The study reported a performance goal of 90% for patients who were free from MAEs at 30 days. However, it is unclear how the performance goal was determined. The study also analyzed the occurrence of adverse events, both serious and nonserious, and found that the intervention group had a higher rate of events than the control group, while the TEER device (TriClip) group had a lower rate of TV surgery or reintervention compared to the control group.<sup>19</sup> However, no statistical test results were available for these comparisons.
- The single-arm observational studies<sup>20-24</sup> have reported different percentages of patients experiencing MAEs, ranging from 0% to 7.1%. Additionally, various adverse events were reported, including major bleeding (0% to 11.9%), single leaflet device attachment (3.8% to 13%), and nonelective cardiovascular surgery related to the device (0.2%).
- Four studies<sup>19-21,24</sup> reported no device embolization or thrombosis, while 1 study<sup>22</sup> did not mention the 2 events.

#### **Echocardiographic Outcomes**

All eligible studies<sup>19-24</sup> provided information on various echocardiographic outcomes, including measures of TR (e.g., effective regurgitant orifice area, vena contracta width, regurgitant volume) and right heart remodelling (e.g., RV end-diastolic dimension, tricuspid annular diameter, right atrial volume, RV fractional area change). The results of these studies were mixed but generally showed that the TEER device (TriClip) mainly affected changes in TR measures rather than right heart remodelling measures.<sup>19-24</sup> The TRILUMINATE Pivotal RCT<sup>19</sup> reported substantial decreases in vena contracta width, effective regurgitant orifice area, and regurgitant volume compared to the control group, but no statistical test results were available for these comparisons. <u>Table 12</u> presents a summary of these important echocardiographic outcomes.



#### **Other Outcomes**

Two single-arm studies<sup>22,23</sup> compared data on the daily dose of diuretics after treatment with the TEER device (TriClip) to baseline, and their results were mixed. One study indicated that more than 50% of patients had reduced their diuretic dosage after 3 months with a statistically significant daily dose reduction.<sup>22</sup> However, the other study reported that most patients had maintained the same dosage of diuretic after 1 year of follow-up.<sup>23</sup>

## Limitations

Three<sup>19,20,23,24</sup> of 5 eligible studies, including the TRILUMINATE Pivotal trial, the bRIGHT study, and the TRILUMINATE single-arm study, were funded directly by the device manufacturer (Abbott). The funder was involved in a number of decisions in the study, such as designing, supervising the conduct, collecting data, and analyzing the results. This could potentially lead to performance, publication, or other bias in favour of the TEER device (TriClip). Four<sup>20-24</sup> of 5 eligible studies were single-arm observational studies; single-arm trials are generally not considered as confirmatory for efficacy and are subject to several limitations that complicate their interpretation; for example, it is not possible to distinguish between the effect of TEER as an intervention, a placebo effect, or natural history of the disease in the absence of a frame of reference for comparison. The TRILUMINATE Pivotal trial<sup>19</sup> calculated its sample size and met its target, but was based on assumptions about the primary outcome only – a hierarchical composite outcome. The study did not reveal any significant differences on mortality or hospitalization for heart failure when examined separately. This suggests that the statistical difference in the primary composite outcome was driven by the improvement in KCCQ scores and the trial may not have had sufficient power to distinguish a statistical difference in mortality or hospitalization for heart failure.

The body of evidence also has substantial inconsistency or unclear reporting in QoL measures. Out of the 5 eligible studies, 4<sup>19-21,23</sup> of them reported measures of QoL. Meanwhile, 2<sup>19,20</sup> out of the 4 studies only reported QoL using the KCCQ, 1 study<sup>21</sup> used EQ-5D, and another study<sup>23,24</sup> used KCCQ, the SF-36 physical component, and the SF-36 mental component. Two studies<sup>19,20</sup> also reported the percentage of patients who experienced at least a 15-point improvement, while 1 study<sup>23</sup> reported the percentage of patients who experienced at least a 10-point improvement. There were no reports of psychometric properties or minimal important difference changes on QoL measures.

In this report, we also found some evidence gaps in the body of evidence. The eligible studies were followed up for a maximum of 1 year; thus, the impact of the TEER device (TriClip) on clinical outcomes in the long term (over 1 year) remains uncertain. Participants in the TRILUMINATE Pivotal trial<sup>19</sup> will be followed for 5 years. The release of the 5-year follow-up results will provide valuable insights into the effectiveness of the TEER device (TriClip) in improving clinical outcomes, particularly in reducing mortality or hospitalization due to heart failure. We did not find any systematic reviews or health technology assessments that specifically focus on the TriClip, which is the only Health Canada–approved TEER device for treating TR. We also did not find any studies that reported emergency department visits or that compared the TEER device (TriClip) with open heart surgery on clinical outcomes.

Additionally, we did not identify any studies that specifically focused on patients in Canada. While the TRILUMINATE Pivotal RCT did include participants from Canada, no subgroup was formed based on settings.<sup>19</sup> Other eligible studies,<sup>20-24</sup> such as the bRIGHT study, were conducted in Europe or the US; therefore, it is unclear whether the findings of these studies can be generalized to settings in Canada.

# Conclusions and Implications for Decision-Making or Policy-Making

The report included 5 eligible studies, with a total of 6 publications<sup>19-24</sup> addressing the research question. One of the publications, TRILUMINATE Pivotal RCT,<sup>19</sup> was included, along with 5 other publications<sup>20-24</sup> relating to 4 single-arm observational studies. Two publications<sup>23,24</sup> provided results for the TRILUMINATE single-arm study. The body of evidence suggests that the TEER device (TriClip) had a high success rate for both implantation and the procedure. The TRILUMINATE Pivotal RCT<sup>19</sup> indicated that the TEER device (TriClip) may be more effective than medical therapy alone in reducing TR severity and improving QoL (measured by KCCQ score) and NYHA functional class. However, it had little impact on all-cause mortality, and hospitalization due to heart failure for up to 1 year of follow-up. One single-arm study (the TRILUMINATE single-arm study) reported a statistically significant improvement in 6MWT distance after intervention with the TEER device (TriClip) and RCT<sup>19</sup> found no statistically significant difference in 6MWT distance between the TEER device (TriClip) and control groups.

The evidence indicated that patients treated with the TEER device (TriClip) had fewer MAEs than the expected 10% performance goal in the included clinical trial.<sup>19-24</sup> However, the TEER device (TriClip) group had a higher rate of adverse events than the control group (medical therapy alone) numerically.<sup>19</sup> However, no statistical test results were available for the comparison. MAEs included major bleeding, single leaflet device attachment, and nonelective cardiovascular surgery related to the device, but no device embolization or thrombosis were reported.

When making decisions based on the body of evidence, it is important to consider factors such as patient selection and training or the TEER device (TriClip). Before applying the TEER device (TriClip) intervention, all eligible studies<sup>19-24</sup> conducted a thorough echocardiographic assessment; such assessment will help clinicians select appropriate patients for the procedure. Given that the TEER device (TriClip) intervention is an invasive procedure and that most studies<sup>19,20,23,24</sup> on it were funded by Abbott, the device manufacturer, we can assume that the clinicians who participated in these studies received sufficient training for the intervention. To achieve comparable treatment outcomes, it is important to ensure that future clinicians who will use the TEER device (TriClip) will receive similar training.



Further primary studies that have a longer follow-up period of more than 1 year and compare the effectiveness of the TEER device (TriClip) to open heart surgery are necessary for evaluate the effectiveness of the TEER device (TriClip) on mortality and hospitalization due to heart failure. Future independent studies may be needed to confirm these findings. Additionally, comprehensive systematic reviews with appropriate pooled data and health technology assessments with cost-effectiveness analyses that specifically focus on the TriClip system are also required for decision-making.



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## **Appendix 1: Selection of Included Studies**

#### Figure 1: Selection of Included Studies





## **Appendix 2: Characteristics of Included Publications**

#### Table 2: Characteristics of Included Primary Clinical Studies

Study citation, country, funding source	Study design	Population characteristics Intervention and comparator(s)		Clinical outcomes, length of follow-up	
Sorajja et al. (2023) <sup>19</sup> US Funding source: Abbott	Multi-centre two-arm RCT (TRILUMINATE Pivotal trial, NCT03904147)	Adults with severe and symptomatic TR (New York Heart Association functional class II, III, or IVa), had a pulmonary artery systolic pressure of less than 70 mm Hg, were receiving stable (30 days or over) guideline-directed medical therapy for heart failure, had no other cardiovascular condition in need of interventional or surgical correction, were at intermediate or greater surgical risk. Sex: 56% of females in the TEER group and 53.7% of females in the control group Age: mean (SD): 78.0 $\pm$ 7.4 in the TEER group and 77.8 $\pm$ 7.2 in the control group Number of patients: 350 (175 in the TEER group and 175 in the control group) Baseline NYHA function class III-IV: 59.4% in the TEER group and 55.4% in the control group Settings: US, Canada, and Europe	Intervention: TEER using TriClip Transcatheter Tricuspid Valve Repair system Comparator: guideline-directed medical therapy without TEER	Outcomes: • All-cause death or tricuspid-valve surgery • Hospitalization for heart failure • 6-minute walk test • QOL measurement with KCCQ • TR severity • MAE within 30 days for the TEER group Follow-up: 1 year (1 and 6 months)	
Lurz et al. (2023) <sup>20</sup> Germany <b>Funding source:</b> Abbott	Multicentre Prospective, single-arm study (bRIGHT, NCT04483089)	Adults who have severe and symptomatic TR despite receiving medical treatment Sex: 56% of females	Intervention: TEER using TriClip Transcatheter Tricuspid Valve Repair system Comparator: baseline	Outcomes: • Acute procedural success • QOL measurement with KCCQ • All-cause mortality	



Study citation, country, funding source	Study design	Population characteristics	Intervention and comparator(s)	Clinical outcomes, length of follow-up	
		Age: mean (SD): 78.9 ± 7.1 Number of patients: 511 consecutive subjects from 26 sites in Europe Baseline NYHA function class III-IV: 80% Settings: 26 sits in Europe		<ul> <li>TR severity</li> <li>Echocardiographic parameters</li> <li>Adverse events.</li> <li>Follow-up: 30 days</li> </ul>	
Freixa et al. (2022) <sup>22</sup> Spain <b>Funding source:</b> No funding	Multicentre retrospective single-arm study	Adults with symptomatic TR from 4 Spanish Centres Sex: 74% of females Age: median (IQR): 75.5 [69 to 79] Number of patients: 34 Baseline NYHA function class III-IV: 76% Settings: 4 Spanish centres	Intervention: edge-to-edge TTVR with the TriClip System Comparator: baseline	Outcomes: • TR severity • Mortality • NYHA function Follow-up: 3 months	
Carpenito et al. (2022) <sup>21</sup> Italy <b>Funding source:</b> no external funding support, and the authors declared no related conflicts of interest.	Prospective single-arm study at a single site	Adults with symptomatic TR (at least severe) from 1 Italy research site Sex: 85% of females Age: mean (SD): 81 ± 4 Number of patients: 13 consecutive patients Baseline NYHA function class III-IV: 100% Country: Italy	Intervention: Transcatheter edge-to-edge repair with the TriClip device Comparator: baseline	Outcomes: • Composite of MAE <sup>a</sup> • NYHA function • QOL measurement with EQ-5D • TR severity and other echocardiographic parameters • Other adverse events and safety outcomes <sup>b</sup> Follow-up: 6 months (in-hospital and 30 days)	
Lurz et al. (2021) <sup>23</sup> Germany <b>Funding source:</b> Abbott	Multicentre single-arm study (TRILUMINATE single-arm study, NCT03227757)	Adults with symptomatic moderate or greater TR, NYHA class II or higher and who were adequately treated per applicable standards. Sex: 66% of females Age: mean (SD): 77.8 (7.9)	Intervention: Transcatheter edge-to-edge repair with the TriClip system Comparator: baseline	Outcomes: • Echocardiographic parameters • Hospitalizations • NYHA function • 6-minute walk test	



Study citation, country, funding source	Study design	Population characteristics	Intervention and comparator(s)	Clinical outcomes, length of follow-up
		Number of patients: 85 Baseline NYHA function class III-IV: 75% Settings: 21 sites in Europe and the US		<ul> <li>QOL measurement with KCCQ</li> <li>MAE and additional safety end points Follow-up: 1 year</li> </ul>
Nickenig et al. (2019) <sup>24</sup> Germany <b>Funding source:</b> Abbott	Multicentre single-arm study (TRILUMINATE trial, NCT03227757)	Adults with symptomatic moderate or greater TR from 21 sites in Europe and the US, NYHA class II or higher and who were adequately treated per applicable standards. Sex: 66% of females Age: mean (SD): 77.8 (7.9) Number of patients: 85 Baseline NYHA function class III-IV: 75% Settings: 21 sites in Europe and the US	Intervention: Transcatheter edge-to-edge repair with the TriClip system Comparator: baseline	Outcomes: • Echocardiographic parameters • Hospitalizations • NYHA function • 6-minute walk test • QOL measurement with KCCQ • MAE and additional safety end points Follow-up: 6 months (1 month)

NA = not applicable; NR = not reported; RCT = Randomized Controlled Trial; TRILUMINATE = Trial to Evaluate Cardiovascular Outcomes in Patients Treated with the Tricuspid Valve Repair System; KCCQ = Kansas City Cardiomyopathy Questionnaire. TEER = tricuspid transcatheter edge-to-edge repair; TR = tricuspid regurgitation; QOL = Quality of life; EQ-5D = EuroQol-5-dimension; MAE = Major adverse events Note: This table has not been copy-edited.

alncluding cardiovascular mortality, myocardial infarction, new onset renal failure, stroke, endocarditis, and non-elective cardiovascular surgery for TV repair system-related adverse events.

<sup>b</sup>Including major bleeding, new-onset liver failure, pulmonary thromboembolism, device embolization, single leaflet device attachment, and hospitalizations.



## **Appendix 3: Critical Appraisal of Included Publications**

Note that this appendix has not been copy-edited.

## Table 3: Strengths and Limitations of Clinical Studies Using the Downs and Black Checklist<sup>17</sup>

Strengths	Limitations			
Sorajja et al. (2023) <sup>19</sup>				
<ul> <li>The objectives of the study were clearly described.</li> <li>Inclusion and exclusion criteria were clearly described.</li> <li>The sample size calculation was conducted.</li> <li>The study had sufficient power to detect an important difference between the intervention and control groups.</li> <li>The study protocol was approved by the FDA and by the institutional review boards of the participating centres (trial registration with ClinicalTrials.gov: NCT03904147).</li> <li>Study subjects were randomized to intervention or control groups and recruited over the same period.</li> <li>The characteristics of participants (e.g., age, sex, NYHA, comorbidities, co-interventions) were clearly described.</li> <li>Baseline characteristics appeared to be well-matched between the intervention and control groups.</li> <li>The trial outcome measures were clearly described.</li> <li>The intervention was clearly described.</li> <li>The main findings of the study were clearly described.</li> <li>The variability (e.g., 95% Cl) estimates of some outcomes were provided.</li> <li>Sensitivity analyses were performed.</li> <li>The participants in the study were representative of patients with isolated TR.</li> <li>The follow-up assessments and echocardiography assessments were conducted by personnel unaware of the group assignments and performed with standardized scripts to minimize bias</li> </ul>	<ul> <li>The study was funded by the device manufactory (Abbott)</li> <li>The sponsor participated in research site selection, trial management, data collection, and analyses.</li> <li>The study did not clearly describe the characteristics of patients who were lost to follow-up.</li> <li>The actual P values were not reported.</li> <li>The study was an open-label study.</li> <li>The authors employed the Finkelstein-Schoenfeld method to determine the win ratio for the primary composite outcome. This outcome included all-cause death or tricuspid-valve surgery, hospitalization due to heart failure, and an improvement of at least 15 points in the KCCQ score at 1 year.</li> <li>The win ratio was primarily driven by the improvement of the KCCQ score since the interpretation of the metric is a weighted combination of the various outcomes included in the composite definition. The hierarchy ensures that all-cause mortality or tricuspid-valve surgery are given priority, but since the events were rare, they contributed less to the effect estimate.</li> </ul>			
Lurz et al	. (2023) <sup>20</sup>			
<ul> <li>The objectives of the study were clearly described.</li> <li>Inclusion and exclusion criteria were clearly described.</li> <li>Baseline characteristics of participants (e.g., age, sex, NYHA, baseline TR severity, comorbidities) were clearly described.</li> <li>The outcome measures were clearly described.</li> <li>The intervention was clearly described.</li> <li>The main findings of the study were clearly described.</li> <li>The statistical analysis used paired Student's t-test for continuous variables and McNemar's test for categorical</li> </ul>	<ul> <li>The study was funded by the device manufactory (Abbott)</li> <li>The study did not conduct sample size calculations.</li> <li>This study was a single-arm and open-label study.</li> <li>The authors used the stepwise model selection to identify possible predictors of TR reduction to moderate or less and did not consider the clinical relevance, which may yield a model that fits the current data well but limit the external validity of the study.</li> </ul>			



Strengths	Limitations
data, which were appropriate for outcome measures.	
<ul> <li>The variability (e.g., 95% CI) estimates of some outcomes were provided.</li> </ul>	
<ul> <li>The actual P values were reported.</li> </ul>	
Freixa et a	I. (2022) <sup>22</sup>
<ul> <li>Baseline characteristics of participants (e.g., age, sex, NYHA, baseline TR severity, comorbidities) were clearly described.</li> <li>The outcome measures were clearly described.</li> <li>The intervention was clearly described.</li> <li>The main findings of the study were clearly described.</li> <li>The statistical analysis used paired t-tests for continuous variables and Friedman tests for nominal data, which were</li> </ul>	<ul> <li>The objectives of the study were not clearly described.</li> <li>Inclusion and exclusion criteria were not clearly described.</li> <li>The study did not conduct sample size calculations.</li> <li>This study was a retrospective study based on prospectively collected data.</li> </ul>
<ul> <li>appropriate for outcome measures.</li> <li>The variability (e.g., 95% CI) estimates of some outcomes were provided.</li> </ul>	
The actual P values were reported.	- L (0000)21
Carpenito en	
<ul> <li>The objectives of the study were clearly described.</li> </ul>	<ul> <li>The study did not conduct sample size calculations.</li> </ul>
<ul> <li>Inclusion and exclusion criteria were clearly described.</li> </ul>	<ul> <li>This study was a single-arm study.</li> </ul>
<ul> <li>Baseline characteristics of participants (e.g., age, sex, NYHA, comorbidities) were clearly described.</li> </ul>	<ul> <li>The study recruited participants from one research site, which may limit the representative of patients with TR.</li> </ul>
<ul> <li>The outcome measures were clearly described.</li> </ul>	
<ul> <li>The intervention was clearly described.</li> </ul>	
<ul> <li>The main findings of the study were clearly described.</li> </ul>	
<ul> <li>The study used appropriate statistical analysis.</li> </ul>	
<ul> <li>The variability (e.g., SD) estimates of some outcomes were provided.</li> </ul>	
<ul> <li>The actual P values were reported.</li> </ul>	
Lurz et al	. (2021) <sup>23</sup>
<ul> <li>The objectives of the study were clearly described.</li> </ul>	<ul> <li>The study was funded by the device manufactory (Abbott)</li> </ul>
<ul> <li>The authors registered the study with ClinicalTrials.gov: NCT03227757.</li> </ul>	<ul> <li>The study did not conduct sample size calculations for 1-year outcome measures.</li> </ul>
<ul> <li>Inclusion and exclusion criteria were clearly described.</li> </ul>	<ul> <li>The study was a single-arm, open-label study.</li> </ul>
<ul> <li>Baseline characteristics of participants (e.g., age, sex, NYHA, comorbidities) were clearly described.</li> </ul>	
<ul> <li>The outcome measures were clearly described.</li> </ul>	
<ul> <li>The intervention was clearly described.</li> </ul>	
<ul> <li>The main findings of the study were clearly described.</li> </ul>	
<ul> <li>The study used appropriate statistical analysis.</li> </ul>	
<ul> <li>The variability (e.g., 95% CI) estimates of some outcomes were provided.</li> </ul>	
The actual P values were reported.	



Strengths	Limitations				
Nickenig et	Nickenig et al. (2019) <sup>24</sup>				
Nickenig et Nicken	<ul> <li>al. (2019)<sup>24</sup></li> <li>The study was funded by the device manufactory (Abbott)</li> <li>The funder played a role in the study's design, setting performance goals, analyzing data, interpreting results, preparing the manuscript, and deciding to submit it for publication.</li> <li>The study was a single-arm, open-label study.</li> </ul>				
<ul> <li>The variability (e.g., SD) estimates of some outcomes were provided.</li> </ul>					
<ul> <li>The statistical analyses were appropriate.</li> <li>The statistical analyses were appropriate.</li> </ul>					
<ul> <li>The loss to follow-up rate was low (2/85) for the primary efficacy end point.</li> </ul>					
<ul> <li>The actual P values were reported.</li> </ul>					

CI = confidence interval; KCCQ = Kansas City Cardiomyopathy Questionnair; NYHA = New York Heart Association; SD = standard deviation; TR = tricuspid regurgitation.



## Appendix 4: Main Study Findings

Note that this appendix has not been copy-edited.

#### Table 4: Summary of Findings by Outcome – Procedural Outcomes

	Sorajja et al. (2023) <sup>19</sup> N = 172	Lurz et al. (2023) <sup>20</sup>	Freixa et al. (2022) <sup>22</sup>	Carpenito et al. (2022) <sup>21</sup>	Nickenig et al. (2019) <sup>24</sup>
Outcomes	(TEER group)	N = 511	N = 34	N = 13	N = 85
Implant success <sup>a</sup>	170 (98.8%)	504 (99%)	100%	100%	100%
Device/procedure success <sup>b</sup>	144 (88.9%)	451/496 (91%)	100%	100%	76/84 (91%)
Number of clips per patient	2.2 ± 0.7	1.9 ± 0.7	1 clip: 47% 2 clips: 44% 3 clips: 6% > 3 clips: 3%	1 clip: 62% 2 clips: 38%	2.2 ± 0.8
Device time (minutes)	90 ± 66	76 ± 39	NR	49 ± 25	90 ± 66
Procedure time (minutes)	151.0 ± 71.7	NR	130 [100 to 173]	88 ± 31	153 ± 58
Length of stay	1 [1.0 to 2.0]	NR	2 [1 to 3]	NR	NR
Home Discharge	168 (97.7%)	NR	NR	NR	NR

NR = not reported; TEER = transcatheter edge-to-edge valve repair

Data are expressed as no. (%), mean ± standard deviation or median [1st quartile to 3rd quartile]

<sup>a</sup>The device was delivered and deployed accurately without any complications during the procedure.

<sup>b</sup>TR improved at least 1 grade and no device-related adverse events at 30 days, postintervention or discharge.

#### Table 5: Summary of Findings by Outcome – TR Severity

Study	Group or time points	Trace/mild or none	Moderate	Severe, massive or torrential	Note
			RCT		
Sorajja et al. (2023) <sup>19</sup> N = 350	Baseline				No
	TEER (n = 136)	0	3%	97%	No
	Control (n = 125)	0	2%	98%	No
	After intervention at 1 r	nonth	1	No	
	TEER (n = 136)     49.7%     37.3%     13.0%				The TEER group had significantly more patients with moderate or less TR after 1 month than the control group (87% vs 4.8%, P < 0.001).
	Control (n = 125)	0.7%	4.1%	95.2%	
	After intervention at 1 y	/ear		No	
	TEER (n = 136)	51%	38%	11%	No

Study	Group or time points	Trace/mild or none	Moderate	Severe, massive or torrential	Note
	Control (n = 125)	3%	2%	95%	No
		1	Single-arm stu	dies	I
Lurz et al. (2023) <sup>20</sup> N = 511	Baseline (n = 479)	0	2%	98% Severe: 10% Massive: 62% Torrential: 26%	P < 0.0001
	Discharge (n = 479)	57% None: 26% Mild: 31%	23%	20% Severe: 10% Massive: 7% Torrential: 3%	P < 0.0001
	1 Month (n = 389)	51% None: 21% Mild: 30%	26%	23% Severe: 14% Massive: 6% Torrential: 3%	No
Freixa et al. (2022) <sup>22</sup> N = 34	Baseline (n = 34)	0	0	100% Severe: 47% Massive: 44% Torrential: 9%	No
	Discharge (n = 34)	50%	41%	9% (severe)	P < 0.001 (compared to baseline)
	3 months (n = 34)	45%	35%	20% Severe: 13% Massive: 7%	P = 0.28 (compared to discharge)
Carpenito et al. (2022) <sup>21</sup> N = 13	Baseline (n = 13)	0	0	100% Severe: 69% Massive: 23% Torrential: 8%	Νο
	6 months (n = 10)	NR	90% moderate or less	10%	All patients experienced a sustained TR reduction of $\ge 1$ grade.
TRILUMINATE single-arm study <sup>23,24</sup> N = 85	Baseline (n = 84) <sup>24</sup>	0	6%	94% Severe: 29% Massive: 29% Torrential: 37%	Νο
	1 month (n = 83) <sup>24</sup>	32% None: 4% Mild: 28%	25%	44% Severe: 29% Massive: 10% Torrential: 5%	P < 0.0001 (compared to baseline)



Study	Group or time points	Trace/mild or none	Moderate	Severe, massive or torrential	Note
	6 months (n = 70) <sup>24</sup>	28% None: 1% Mild: 27%	29%	42% Severe: 34% Massive: 7% Torrential: 1%	P < 0.0001 (compared to baseline)
	1 year (n = 63) <sup>23</sup>	37% None: 5% Mild: 32%	34%	42% Severe: 19% Massive: 6% Torrential: 3%	P < 0.0001 (compared to baseline); P = 0.96 (compared to 1 month)

NR = not reported; RCT = randomized controlled trial; TEER = transcatheter edge-to-edge valve repair; TRILUMINATE = Trial to Evaluate Cardiovascular Outcomes in Patients Treated with the Tricuspid Valve Repair System

#### Table 6: Summary of Findings by Outcome – Quality of Life

Study	Measure tool	Group/ intervention	Baseline	Longest follow- up measures	Change from baseline to follow-up	Group Difference	P value			
Continuous measures										
Sorajja et al.	KCCQ	TEER	56.0 ± 23.4	NR	12.3 ± 1.8	11.7	< 0.001			
(2023), <sup>™</sup> RCT		Control	54.1 ± 24.2	NR	0.6 ± 1.8	(6.8 to 16.6)	(compared intervention to control)			
Lurz et al. (2023) <sup>20</sup>	KCCQ	TEER	44.52 ± 22.56	NR	19 ± 23	NA	< 0.001			
Carpenito et al. (2022) <sup>21</sup>	EQ-5D	TEER	0.58 ± 0.3	0.87 ± 0.4	NR	NA	0.04			
TRILUMINATE	KCCQ <sup>23</sup>	TEER	52.2	72	20 ± 2.61	NA	< 0.001			
single-arm study <sup>23,24</sup>	SF-36 physical component <sup>24</sup>	TEER	35.55 ± 9.63	42.54 ± 9.63	6.30 ± 10.64	NA	< 0.001			
	SF-36 mental component <sup>24</sup>	TEER	44.61 ± 14.00	50.08 ± 10.63	5.52 ± 12.95	NA	0.0006			
	Са	tegorical outco	mes (patients witl	$h \ge 15$ -point improve	ement in KCCQ)					
Sorajja et al.	KCCQ	TEER	NA	73/147 (49.7)	NA	OR (95% CI):	NR			
(2023), <sup>™</sup> RC1		Control	NA	39/148 (26.4)	NA	2.76 (1.69 to 4.49)				
Lurz et al. (2023) <sup>20</sup>	KCCQ	TEER	NA	236/420 (56.2%)	NA	NA	NA			
TRILUMINATE single-arm study <sup>23,24</sup>	KCCQ <sup>23</sup>	TEER	NA	43/66 (65%)ª	NA	NA	NA			

EQ-5D = EuroQol 5 dimension; KCCQ = Kansas city cardiomyopathy questionnaire; NA = not applicable; NR = not reported; RCT = Randomized controlled trial; SF-36 = 36item short form survey; TEER = transcatheter edge-to-edge valve repair; TRILUMINATE = Trial to Evaluate Cardiovascular Outcomes in Patients Treated with the Tricuspid Valve Repair System

<sup>a</sup>patients with  $\geq$  10-point improvement in KCCQ.



## Table 7: Summary of Findings by Outcome – New York Heart Association Functional Class

Study	Group or time points	NYHA I or II	NYHA III or IV	Note				
RCT								
Sorajja et al. (2023),19 RCT	Baseline	No						
N = 350	TEER (n = 175)	NR	59.4%	No				
	Control (n = 175)	NR	55.4%	No				
	After intervention at 1	year	·	No				
	TEER (n = 136)	83.9%	NR	No				
	Control (n = 125)	59.5%	NR	No				
		Single-arm study	,					
Lurz et al. (2023) <sup>20</sup> N = 511	Baseline (n = 446)	20% NYHA I: 0 NYHA II: 20%	80% NYHA III: 71% NYHA IV: 9%	No				
	1 Month (n = 446)	79% NYHA I: 18% NYHA II: 61%	21% NYHA III: 20% NYHA IV: 1%	P < 0.0001 (compared to baseline)				
Freixa et al. (2022) <sup>22</sup> N = 34	Baseline (n = 34)	24% NYHA I: 0 NYHA II: 24%	76% NYHA III: 67% NYHA IV: 9%	No				
	3 months (n = 34)	88% NYHA I: 19% NYHA II: 69%	13% NYHA III: 10% NYHA IV: 3%	P < 0.001 (compared to discharge)				
Carpenito et al. (2022) <sup>21</sup>	Baseline (n = 13)	0	100%	No				
N = 13	6 months (n = 13)	100%	0	No				
TRILUMINATE single-arm study <sup>23,24</sup> N = 85	Baseline (n = 83) <sup>24</sup>	25% NYHA I: 0 NYHA II: 25%	75% NYHA III: 70% NYHA IV: 5%	No				
	1 month (n = 84) <sup>24</sup>	80% NYHA I: 23% NYHA II: 57%	20% NYHA III: 20% NYHA IV: 0%	P < 0.0001 (compared to baseline)				
	6 months (n = 73) <sup>24</sup>	87% NYHA I: 36% NYHA II: 51%	13% NYHA III: 12% NYHA IV: 1%	P < 0.0001 (compared to baseline)				
	1 year (n = 65) <sup>23</sup>	83% NYHA I: 32% NYHA II: 51%	17% NYHA III: 17% NYHA IV: 0%	P < 0.0001 (compared to baseline); P = 0.39 (compared to 1 month)				

NA = not applicable; NR = not reported; NYHA = New York heart association; RCT = Randomized controlled trial; TEER = transcatheter edge-to-edge valve repair; TRILUMINATE = Trial to Evaluate Cardiovascular Outcomes in Patients Treated with the Tricuspid Valve Repair System



	Sorajja et al. (2023), <sup>19</sup> RCT		TRILUMINATE single-arm study <sup>23,24</sup>		
	TEER	Control group	6 months follow up <sup>24</sup>	12 months follow up <sup>23</sup>	
Follow-up	N = 131	N = 136	N = 73	N = 65	
Baseline	240.5 ± 117.1	253.6 ± 129.1	277.6 ± 131.7	272.3 ± 15.6	
Follow-up measures	272.3 ± 132.7	269.6 ± 125.3	339.5 ± 129.8	303.2 ± 15.6	
Change from baseline	−8.1 ± 10.5ª	−25.2 ± 10.3ª	54.6 ± 111.4	31 ± 10.2	
Mean difference (95% CI)	17.1 (-12.0 to 46.1)		NA	NA	
P value	0.25 (compared intervention to control)		P < 0.0003 (compared to baseline)	P < 0.0023 (compared to baseline)	

#### Table 8: Summary of Findings by Outcome – 6-Minute Walk Test

CI = confidence interval; NA = not applicable; RCT = Randomized controlled trial; TEER = transcatheter edge-to-edge valve repair; TRILUMINATE = Trial to Evaluate Cardiovascular Outcomes in Patients Treated with the Tricuspid Valve Repair System

<sup>a</sup>A value of 0 was imputed for all patients who had a heart failure-related death from cardiovascular causes or received tricuspid

#### Table 9: Summary of Findings by Outcome – Hospitalization Due to Heart Failure

	R	СТ	Single-arm study				
	Sorajja et a	Sorajja et al. (2023) <sup>19</sup>		Freixa et al. (2022) <sup>22</sup>	Carpenito et al. (2022) <sup>21</sup>	TRILUMINATE single-arm study <sup>23,24</sup>	
Follow-up	TEER N = 175	Control N = 175	N = 511	N = 34	N = 13	N = 85	
1-year before intervention	44 (25.1%)	44 (25.1%)	40.3%	NR	NR	1.30 events/patient- year <sup>23</sup>	
30-day (n, %)	6 (3.4%)	4 (2.3%)	24%	3 (10%)	0	NR	
3-month (n, %)	NR	NR	NR	0	0	NR	
6-month (n, %)	19 (11.2%)	13 (7.6)	NR	NR	0	NR	
1-year (n, %)	25 (14.9%)	20 (12.1%)	NR	NR	NR	0.78 events/patient- year <sup>23</sup>	
Difference	NR		NR	NR	NR	40% reduction (P = 0.003) <sup>23</sup>	

NR = not reported; RCT = Randomized controlled trial; TEER = transcatheter edge-to-edge valve repair; TRILUMINATE = Trial to Evaluate Cardiovascular Outcomes in Patients Treated with the Tricuspid Valve Repair System



	RCT		Single-arm study				
	Sorajja et al. (2023) <sup>19</sup>		Lurz et al. (2023) <sup>20</sup>	Freixa et al. (2022) <sup>22</sup>	Carpenito et al. (2022) <sup>21</sup>	TRILUMINATE single- arm study <sup>23,24</sup>	
	TEER <sup>a</sup>	Control <sup>a</sup>					
Follow-up	N = 175	N = 175	N = 511	N = 34	N = 13	N = 85	
30-day (n, %)	2 (1.2%)	2 (1.1%)	5 (1.0%)	0	0	NR	
3-month (n, %)	NR	NR	NR	0	0	NR	
6-month (n, %)	14 (8.2%)	14 (8.2)	NR	NR	0	4 (5%) <sup>24</sup>	
1-year (n, %)	16 (9.4%)	18 (10.6%)	NR	NR	NR	6 (7.1%) <sup>23</sup>	

#### Table 10: Summary of Findings by Outcome – All-Cause Mortality

NR = not reported; RCT = Randomized controlled trial; TEER = transcatheter edge-to-edge valve repair; TRILUMINATE = Trial to Evaluate Cardiovascular Outcomes in Patients Treated with the Tricuspid Valve Repair System

<sup>a</sup>events include all-cause mortality or Tricuspid valve surgery.

#### Table 11: Summary of Findings by Outcome – Adverse Events

	RCT		Single-arm study				
	Sorajja et al	. <b>(2023)</b> <sup>19</sup>	Lurz et al. (2023) <sup>20</sup>	Freixa et al. (2022) <sup>22</sup>	Carpenito et al. (2022) <sup>21</sup>	TRILUMINATE single- arm study <sup>23,24</sup>	
	TEER	Control			NI 40	N	
Outcomes	N = 175	N = 175	N = 511	N = 34	N = 13	N = 85	
MAE after intervention at 30 days	1.7% (upper 95 Cl: 3.7%)ª	NA	2.5%	0 (up to 3 months)	0	6/84 (7.1%) <sup>23</sup>	
Tricuspid-valve surgery/ re intervention	1.8%	3.6%	0.6%	NR	0	0 <sup>23</sup>	
Major bleeding	5.2%	NA	7.2%	0	0	10/84 (11.9%) <sup>23</sup>	
Device embolization	0	NA	0	NR	0	0 <sup>23</sup>	
Device thrombosis	0	NA	0	NR	0	0 <sup>23</sup>	
SLDA	12/172 (7.0%)	NA	3.8%	1 (3%)	1 (13%)	5/65 (7.7%) <sup>23</sup>	
Nonelective cardiovascular surgery for device-related AE	NR	NA	1 (0.2%)	NR	0	0 <sup>23</sup>	
Any SAE	48.0%	41.1%	NR	NR	NR	NR	
Any NSAE	49.1%	41.1%	NR	NR	NR	NR	

AE = Adverse events; MAE = major adverse events; NA = not applicable; NR = not reported; NSAE = Non-Serious Adverse Event; SAE = Serious Adverse Event; SLDA = Single leaflet device attachment; TEER = transcatheter edge-to-edge valve repair; TRILUMINATE = Trial to Evaluate Cardiovascular Outcomes in Patients Treated with the Tricuspid Valve Repair System

<sup>a</sup>calculated based on the percentage of patients with freedom from major adverse events through 30 days after the procedure.



#### Table 12: Summary of Findings by Outcome – Echocardiographic Outcomes

	F	RCT	Single-arm study							
	Sorajja et	al. (2023) <sup>19</sup>	Lurz et al. (2023) <sup>20</sup>	Freixa et al. (2022) <sup>22</sup>	Carpenito et al. (2022) <sup>21</sup>	TRILUMINATE single-arm study <sup>23,24</sup>				
Follow-up	TEER	Control group	N = 511	N = 34	N = 13	N = 85				
Vena contracta width (cm)										
Baseline	1.2 ± 0.4	1.1 ± 0.3	0.85 ± 0.36	1.32 [0.9 to12]	0.80 ± 0.10	1.73 ± 0.07				
Longest follow-up measures	0.7 ± 0.3	1.2 ± 0.4	0.50 ± 0.36	0.6 [0.3 to 0.9]	0.40 ± 0.20	0.78 ± 0.05				
Change from baseline to	NR	NR	NR	-0.6 [-0.87 to -0.27]	NR	NR				
P value	NR	NR	< 0.001	0.018	< 0.001	< 0.0001				
		Effectiv	ve regurgitant orifi	ce area (cm²)						
Baseline	0.7 ± 0.3	0.7 ± 0.3	0.80 ± 0.51	NR	0.63 ± 0.28	0.65 ± 0.03				
Longest follow-up measures	0.2 ± 0.2	0.7 ± 0.3	0.42 ± 0.38	NR	0.32 ± 0.21	0.32 ± 0.05				
Change from baseline to	NR	NR	NR	NR	NR	NR				
P value	NR	NR	< 0.001	NR	< 0.001	< 0.0001				
		I	Regurgitant volum	e (mL)		·				
Baseline	53.9 ± 18.8	55.0 ± 20.4	59.15 ± 28.38	NR	57 ± 16	52.20 ± 2.35				
Longest follow-up measures	20.0 ± 11.5	53.5 ± 20.4	31.96 ± 20.89	NR	28 ± 16	27.68 ± 3.08				
Change from baseline to	NR	NR	NR	NR	NR	NR				
P value	NR	NR	< 0.001	NR	< 0.001	< 0.0001				
		RV e	end-diastolic dime	nsion (cm)		<u>`</u>				
Baseline	NR	NR	4.63 ± 0.92	NR	NR	5.28 ± 0.07				
Longest follow-up measures	NR	NR	4.28 ± 0.86	NR	NR	4.79 ± 0.08				
Change from baseline to	NR	NR	NR	NR	NR	NR				
P value	NR	NR	< 0.001	NR	NR	< 0.0001				
		Tric	uspid annular dian	neter (cm)						
Baseline	NR	NR	4.54 ± 0.76	NR	4.40 ± 0.50	4.34 ± 0.06				
Longest follow-up measures	NR	NR	4.27 ± 0.73	NR	4.00 ± 0.40	4.03 ± 0.07				
Change from baseline to	NR	NR	NR	NR	NR	NR				



	F	СТ	Single-arm study					
	Sorajja et	al. (2023) <sup>19</sup>	Lurz et al. (2023) <sup>20</sup>	Freixa et al. (2022) <sup>22</sup>	Carpenito et al. (2022) <sup>21</sup>	TRILUMINATE single-arm study <sup>23,24</sup>		
Follow-up	TEER	Control group	N = 511	N = 34	N = 13	N = 85		
P value	NR	NR	< 0.001	NR	< 0.001	< 0.0001		
			Right atrial volume	e (mL)				
Baseline	NR	NR	151.66 ± 70.46	NR	NR	129 ± 5.84		
Longest follow-up measures	NR	NR	136.25 ± 62.35	NR	NR	116 ± 6.55		
Change from baseline to	NR	NR	NR	NR	NR	NR		
P value	NR	NR	0.0023	NR	NR	0.0166		
RV fractional area change (%)								
Baseline	NR	NR	39.4 ± 8.4	40 [35 to 47]	33 ± 8	36.00 ± 0.85		
Longest follow-up measures	NR	NR	38.9 ± 8.6	38.5 [33 to 47]	36 ± 8	38.19 ± 0.57		
Change from baseline to	NR	NR	NR	0 [-8 to 6]	NR	NR		
P value	NR	NR	0.5929	0.818	0.370	0.0649		
	·		TAPSE (cm)					
Baseline	NR	NR	1.70 ± 0.44	1.8 [1.5 to 2.0]	1.6 ± 0.3	1.44 ± 0.03		
Longest follow-up measures	NR	NR	1.69 ± 0.48	1.8 [1.4 to 1.9]	1.7 ± 03	1.59 ± 0.04		
Change from baseline to	NR	NR	NR	-0.3 [-6 to 0.4]	NR	NR		
P value	NR	NR	0.2035	0.10	0.150	0.0002		
		,	LVEF		,			
Baseline	NR	NR	55.79 ± 10.58	57.5 [55 to 61]	50 ± 7	59·39 ± 8·09		
Longest follow-up measures	NR	NR	57.73 ± 10.13	NR	49 ± 8	61·12 ± 7·23		
Change from baseline to	NR	NR	NR	NR	NR	NR		
P value	NR	NR	0.0114	NR	0.473	0.055		

NA = not applicable; NR = not reported; RV = right ventricular; TAPSE = Tricuspid annular plane systolic excursion; LVEF = Left ventricular ejection fraction; TEER = transcatheter edge-to-edge valve repair; TRILUMINATE = Trial to Evaluate Cardiovascular Outcomes in Patients Treated with the Tricuspid Valve Repair System Data are expressed as no. (%), mean ± standard deviation or median [1st quartile to 3rd quartile].

## **Appendix 5: References of Potential Interest**

Note that this appendix has not been copy-edited.

#### Systematic Reviews

#### Excluded for using broad intervention including other TEER systems and no subgroup or subsection for TriClip

- Wu Z, Zhu W, Kaisaier W, et al. Periprocedural, short-term, and long-term outcomes following transcatheter tricuspid valve repair: a systemic review and meta-analysis. *Ther Adv Chronic Dis*. 2023;14:20406223231158607. <u>PubMed</u>
- Alperi A, Avanzas P, Almendarez M, et al. Early and mid-term outcomes of transcatheter tricuspid valve repair: systematic review and meta-analysis of observational studies. *Rev Esp Cardiol (Engl)*. 2023;76(5):322-332. <u>PubMed</u>
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- Montalto C, Sticchi A, Crimi G, et al. Functional and Echocardiographic Improvement After Transcatheter Repair for Tricuspid Regurgitation: A Systematic Review and Pooled Analysis. *JACC Cardiovasc Interv.* 2020;13(23):2719-2729. <u>PubMed</u>
- Nagaraja V, Kapadia SR, Miyasaka R, Harb SC, Krishnaswamy A. Contemporary review of percutaneous therapy for tricuspid valve regurgitation. *Expert Rev Cardiovasc Ther.* 2020;18(4):209-218. <u>PubMed</u>

#### Nonrandomized Studies

#### Excluded for using broad intervention including other TEER systems and no subgroup for TriClip

- Wang X, Ma Y, Liu Z, et al. Comparison of outcomes between transcatheter tricuspid valve repair and surgical tricuspid valve replacement or repair in patients with tricuspid insufficiency. *J Cardiothorac Surg.* 2023;18(1):170. <u>PubMed</u>
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#### Using unclear or other TEER systems (e.g., MitraClip or PASCAL) for treating TR

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- Miura M, Alessandrini H, Alkhodair A, et al. Impact of Massive or Torrential Tricuspid Regurgitation in Patients Undergoing Transcatheter Tricuspid Valve Intervention. *JACC Cardiovasc Interv*. 2020;13(17):1999-2009. <u>PubMed</u>
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- Cai S, Bowers N, Dhoot A, et al. Natural history of severe tricuspid regurgitation: Outcomes after transcatheter tricuspid valve intervention compared to medical therapy. *Int J Cardiol.* 2020;320:49-54. <u>PubMed</u>
- Orban M, Rommel KP, Ho EC, et al. Transcatheter Edge-to-Edge Tricuspid Repair for Severe Tricuspid Regurgitation Reduces Hospitalizations for Heart Failure. JACC Heart Fail. 2020;8(4):265-276. <u>PubMed</u>
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